

# Product Jurisdiction & the CBER Ombudsman Function

*CBER/DIA/RAPS  
Workshop  
March 22, 2004  
Gaithersburg, MD*

*Sheryl Lard-Whiteford, Ph.D.  
Associate Director for Quality  
Assurance  
Center for Biologics Research and  
Evaluation/CBER Ombudsman*

# What Are We Talking About?

## ■ Product Jurisdiction

- ◆ What is it?
- ◆ Why should I care?
- ◆ Product types
- ◆ Paths to a jurisdiction decision

## ■ CBER Ombudsman Function

- ◆ What is it?
- ◆ How does it work?
- ◆ Types of inquiries

# What is Product Jurisdiction?

- **Determination of which Center or agency component\* within FDA is assigned primary responsibility for review and regulation of a product.**
- **Other questions that may be asked**
  - ◆ **What is it?**
  - ◆ **If it is a joint review how will the centers interact?**
  - ◆ **What regulatory authorities will be applied?**

\* Language from MDUFMA

# Why ask?

- **Early product development program**
- **Business decisions and long term planning**

# When Do You Need to Ask?

- New technology introduced
- Old technologies combined in a new form
- Precedents for other (related) products appear to be inconsistent
- Any time there is uncertainty
- As soon as possible

# General Product Categories

- Novel single entity products
- Combinations
  - ◆ Novel drug/biologic delivery systems
  - ◆ Integrated biologic/device systems intended for:
    - ◆ Metabolic support
    - ◆ Tissue repair, regeneration, replacement

# Novel Single Entity Products

- One primary component (single entity product)
  - ◆ Intercenter agreements
    - ◆ New technologies may not be discussed
    - ◆ Doesn't reflect recent product transfers
  - ◆ Guidance documents
  - ◆ Informal input from center jurisdiction officers
  - ◆ RFD process

# Combination Products - Defined

- 21 CFR 3.2 (e)1: “A product comprised of two or more regulated components, i.e., drug/device, biologic/device, drug/biologic, or drug/device/biologic, that are physically, chemically, or otherwise combined or mixed and produced as a single entity”
- 21 CFR 3.2(e)2-4



# Tricky Combinations

- Novel combinations
  - ◆ Emerging technologies
  - ◆ New twists on old products
- Appropriate assignment may not be obvious.

**Biologic  
added**

**Combination  
Product**

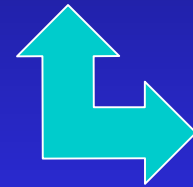
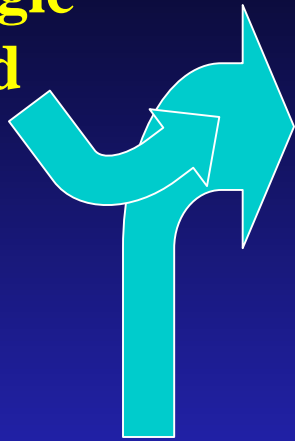
**Device  
added**

**PMA  
510k**

**BLA**

**Devices**

**Biologics**



# How Jurisdictional Decisions Are Made for Combinations

- **For Combinations: Primary mechanism of action (21 CFR 3.4)**
  - ◆ “To designate the agency component with primary jurisdiction for the premarket review and regulation of a combination product, the agency shall determine the primary mode of action of the product.”

# Combination Product Decisions

- Mechanism of action inconclusive... So now what?
- Other factors that have been be considered:
  - ◆ Center expertise with most complex safety and/or efficacy issues
  - ◆ Assignment of very closely related products
  - ◆ Center expertise with specific product categories
  - ◆ Unique regulatory requirements

# Review of Combination Products

## ■ Lead Center

- ◆ responsible for taking action
- ◆ one focal point for sponsor/agency interaction
- ◆ option for consult or collaborative review

# Other Relevant Questions

- Which regulatory authorities will apply?
  - ◆ Biologic, drug or device?
  - ◆ One application or two?
  - ◆ Mixing regulatory authorities
- Joint review: How will the two centers interact?
  - ◆ Consult
  - ◆ Collaboration
  - ◆ Two applications/shared responsibility

# Questions about Jurisdiction?

- **CBER:** Call the CBER Ombudsman (301-827-0379)
- **CDER:** Call the CDER Ombudsman (301-594-5443)
- **CDRH:** Call the CDRH Chief Jurisdictional Officer (301-594-1190, ext 132)
- **Intercenter issues:** Call the FDA Office of Combination Products (301-827-9229)

# What Is an Ombudsman?



# CBER Ombudsman



- Impartial
- Independent
- Informal
- Confidential

# **CBER's Ombudsman Function**

## **■ External Ombudsman:**

- ◆ Deals with industry/sponsor disputes with CBER**
  - ◆ formal**
  - ◆ informal**
- ◆ Common Problems**
  - ◆ Scientific Assessment**
  - ◆ Regulatory Assessment**
  - ◆ Process Issues**

# Ombudsman Function

## ■ Improve Communication:

- ◆ identify level of organization where “block” exists
- ◆ identify circumstance(s) of disagreement
- ◆ talk to supervisor
- ◆ identify next level
- ◆ mediate/facilitate further discussion

# Formal Vs. Informal Disputes

## ■ Formal

- ◆ FDAMA (§404)
- ◆ formal timelines
- ◆ formal response
- ◆ records: all detailed information retained

## ■ Informal

- ◆ informal mechanism
- ◆ no set timelines
- ◆ informal response/agreements
- ◆ records: only general information kept in Ombudsman file

# CBER Informal Disputes

- Approximately 89 ombudsman contacts or requests for intervention were received in FY-03 from 10/2/2002 through 2/24/2004. Of these, 12 were considered to be major interventions.

# **CBER Informal Disputes**

- **89 contacts related to a variety of issues:**
  - ◆ **33 scientific, regulatory or process disputes**
  - ◆ **20 jurisdiction decisions**
  - ◆ **15 high-level policy issues**
  - ◆ **1 FOI issues**
  - ◆ **8 compliance issues**
  - ◆ **12 “other”**

# **CBER Formal Disputes**

- **Only 5 formal disputes (7 goal dates) filed with CBER in the last four years (none in FY 2003 or 2004):**
  - ◆ **3 did not proceed beyond the first cycle**
  - ◆ **2 Completed**
    - ◆ **1 product, 2 cycles: resolved as requested by the sponsor**
    - ◆ **1 product, 2 cycles: compromise reached**

# How to Contact the CBER Ombudsman

**CBER Ombudsman – Sheryl Lard Whiteford**  
**301-827-0379 (telephone) 301-827-2920**  
**(fax)**  
**e-mail: [Lard@CBER.FDA.GOV](mailto:Lard@CBER.FDA.GOV)**